# Robust Person Identification based on DTW Distance of Multiple-Joint Gait Pattern

Takafumi Mori<sup>1</sup> and Hiroaki Kikuchi<sup>2</sup>

<sup>1</sup>Graduate School of Advanced Mathematical Sciences, Meiji University, 164-8525, Japan <sup>2</sup>School of Interdisciplinary Mathematical Science, Meiji University, 164-8525, Japan cs172059@meiji.ac.jp, kikn@meiji.ac.jp

Keywords: Gait, Biometrics, DTW, Person Identification.

Abstract: Gait information can be used to identify and track persons. This work proposes a new gait identification method aggregating multiple features observed by a motion capture sensor and evaluates the robustness against obstacles in walking. The simplest gait identification is to use gait statistics, but these are not a significant feature with regard to identifying people accurately. Hence, in this work, we use the dynamic time warping (DTW) algorithm to calculate distances of gait sequences. DTW is a pattern-matching algorithm mainly used in speech recognition. It can compare two sets of time series data, even when they have different lengths. We also propose an optimal feature integration method for DTW distances. For evaluating the proposed method, we developed a prototype system and calculated the equal error rate (EER) using 31 subjects. As a result, we clarified that the EER of the proposed method is 0.036 for normal walking, and that it is robust to some obstacles in walking.

# **1 INTRODUCTION**

Gait information can be used to identify and track persons because there are several advantages to using a person's gait features. For example, the features can be observed by outside sensors, can easily be aggregated for multiple features, and target cooperation is unnecessary. The consumer market industry has a strong demand for automatically tracking persons and for big-data analysis of the behavior of a large number of customers in a store. Gait information can be used to track customers without their consent to be tracked. It is important to pay attention to economical cost of security systems in modern applications (Sklavos and Souras, 2006).

The simplest form of gait identification is to use statistics of human joint distance. For example, we identify people by an average distance between hands. However, statistics are not suitable for doing so because of the following difficulties:

- The *dynamic* distance between hands is not stable and changes frequently, even in the same person.
- The *static* distance between joints does not have a high enough resolution to distinguish between individuals.

In order to solve the issues with regard to gait

identification, we used the dynamic time warping (DTW) (Berndt and Clifford, 1994) algorithm in this work, which is a well-known pattern-matching algorithm designed for time series data. With the DTW algorithm, we can compare two time series of different lengths, while minimizing distances of the fluctuation patterns of joints in a time series. With the DTW distance, we improve the accuracy of matching by computing *dynamic patterns*, which could not be recognized in *static features*.

A state-of-the-art study (Muaaz and Mayrhofer, 2017) applied the DTW algorithm to the time series data of a smartphone-based accelerometer. However, this work cannot be used in automatic person tracking because of the following drawbacks:

- The subject's cooperation is necessary to bring the smartphone and to install the application. Hence, the number of sensors to track is limited.
- The smartphone-based sensor detects the acceleration data of the center of the body but does not detect individual movements of the hands or feet. The time series data of the *single sensor* would not provide sufficient data to track a person.
- It is not robust to *obstacles* of walking, e.g., carrying a bag or box, texting, phoning, or wearing sandals. The obstacles may interfere with the

tracking of the subject and could result in failure of identification.

Instead of the single sensor in the smartphone, we will capture multiple movements of several joints of the body by using a motion capture sensor such as Kinect. Our proposed method does not require the cooperation of users. Since a sensor detects many subjects at the same time, the number of sensors is greater than in the study by (Muaaz and Mayrhofer, 2017). The motion capture sensor allows us to detect movements of *multiple joints* of our body. It is thus useful for improving the robustness of identification. Even if a partial movement of a hand is blocked by some obstacle, we can identify the person by alternative joints such as the foot or the head. We can aggregate multiple movements of joints in human bodies to improve the accuracy of identification. Our experiment shows that the equal error rate (EER) of our proposed method is 0.036, which is smaller than 0.13 in the above-mentioned study (Muaaz and Mayrhofer, 2017) for a single smartphone. We summarize the comparison between this work and the previous work in Table 1.

In our method, some research questions require answering.

- How many features must be aggregated to minimize the EER? Two features are better than one, but it is important to define an appropriate maximum number of features because too many features may increase the false rejection rate (FRR).
- Automatic identification should be disabled when the subject is not willing to be tracked. Possible ways to prevent tracking include obfuscating the way of walking by carrying a bag or box. Which characteristic would obfuscate the gait the most?

To answer these questions, we conducted an experiment using a prototype implementation of the proposed method.

The remainder of the paper is organized as follows. In Section 2, we briefly describe some previous work related to this study. In Section 3, we propose a new gait identification method using the DTW algorithm, and an improvement that integrates multiple features. With the development prototype system, we evaluate the accuracy of the proposed method and report the optimal parameters in Section 4. Finally, based on the experimental results, we consider requirements relating to person identification in Section 5. We conclude our study in Section 6.

# 2 RELATED WORKS

Gait authentication using an RGB camera has been studied previously. Han et al. (Han and Bhanu, 2006) proposed the gait energy image (GEI). GEI is an average image of gait for a cycle of walking. The advantages of GEI are the reduction of processing time, reduction of storage requirements, and robustness of obstacles.

There are some studies using GEI. Backchy et al. proposed a gait authentication method using Kohonen's self-organizing mapping (K-SOM). In this work, the authors used K-SOM to classify GEI and reported a 57% recognition rate. Shiraga et al. proposed the GEINet (Shiraga et al., 2016) using a convolutional neural network to classify GEI images. The best EER obtained was 0.01.

Person tracking can also be implemented using depth sensors. A simple way of identification is to use statistics of human joint movement (Mori and Ki-kuchi, 2018). In this work, 3-dimensional coordinates of 25 joints of a body were captured by Microsoft Kinect V2, and 36 features were defined. In the experiment, the EER was minimized to 0.25 by using the best features in 10 subjects. This work demonstrated that static features, such as statistics of distances, are not useful for recognition. Preis et al. proposed a gait recognition method using Kinect (Preis et al., 2012). They used a decision tree and a Naive Bayes classifier to recognize the gait. In their work, a success rate of 91.0% was achieved for nine subjects.

Gender classification using depth cameras has also been applied. Igual et al. proposed a gender recognition method (Igual et al., 2013). In this work, they used depth images instead of RGB images and calculated the GEI from the images. The result of the experiments showed that the accuracy of this method is 93.90 %.

As mentioned earlier, gait authentication using the accelerometer of mobile devices has also been investigated. Muaaz et al.(Muaaz and Mayrhofer, 2017) proposed a person identification method using a smartphone-based accelerometer. They used the acceleration information of an Android device in a person's front pocket as data. A cycle of walking is defined as a template in the register phase and multiple templates are registered. In the authentication phase, the distances from all templates are examined and the user is regarded as the correct person if more than half of the templates are within the threshold. Zhang et al. proposed a gait recognition method combining several sets of acceleration data (Zhang et al., 2015). They showed that when the data from accelerometers at five different body positions are used together, the

Table 1: Differences between the present work and previous works.

	Muaaz	GEI	Mori 2018	This work
No. of features	1	1	1-36	1-24
Sensor	inner	outer	outer	outer
Observation period	long	short	short	short
No. of templates	multi	N/A	single	single
Target cooperation	necessary	unnecessary	unnecessary	unnecessary
Method	DTW dist.	GEI	statistic feature	DTW dist.
No. of subjects	35	-	10	31

rank-1 accuracy is 95.8% and the EER is 0.022.

## 2.1 Dynamic Time Warping

The DTW algorithm is a well-known method for pattern matching and is used in speech recognition. It quantifies the distance differences between two sets of time series data with different lengths. A DTW distance between two sets of time series data  $P = (p_1, p_2, ..., p_{n_P})$  and  $Q = (q_1, q_2, ..., q_{n_Q})$ , denoted by d(P,Q), is defined as

$$d(P,Q) = f(n_P, n_Q), \tag{1}$$

where, 
$$f(i, j)$$
 is calculated recursively as  
 $f(i, j) = ||p_i - q_j|| + \min(f(i, j-1), f(i-1, j)),$ 

$$f(i-1, j-1), ) \quad (2)$$
  
$$f(0,0) = 0, \quad f(i,0) = f(0, j) = \infty. \quad (3)$$

The DTW algorithm also has many other uses. Lee et al. proposed a handwritten pattern recognition method using the DTW algorithm on motion sensor data generated from an accelerometer and a gyroscope (Lee et al., 2018). In this work, the accuracy of the proposed method was 91.4% using a real-world data set.

Li et al. proposed a gait recognition method based on human electrostatic signals (Li et al., 2018). The authors used DTW on the electric signal of walking. From their experiment, the best correct rate achieved was 87.5%.

# **3 PROPOSED METHOD**

In this work, we recognize a person by using 3dimensional coordinates observed by motion capture sensors, and calculate the DTW distance of the time series data of one cycle of walking. The proposed method consists of four steps:

- 1. Cycle extraction
- 2. Calculation of relative coordinates
- 3. Calculation of DTW distance
- 4. Person recognition.

## 3.1 Cycle Extraction

Let  $a_{\ell}(t) = (x, y, z)$  be a time series of 3-dimensional absolute coordinates of joint  $\ell$  in time *t*. *Skeleton data* is a set of time series data of absolute coordinates in time *t*.

We extract one cycle of walking from the skeleton data. In our environment, an observed video stream contains about two cycles.

First, let  $\Delta(t)$  be the distance between both feet in time *t*, defined using  $a_{LF}(t)$  and  $a_{RF}(t)$  as

$$\Delta(t) = \pm ||a_{RF}(t) - a_{LF}(t)||.$$
(4)

If the right foot is in front, the sign of  $\Delta(t)$  is positive, otherwise it is negative.

Next, the Fourier transformation is applied to the time series  $\Delta(1), \ldots, \Delta(n)$  and a low pass filter is applied to reduce noise and detect one cycle. The resulting 1/30 low-frequency components are processed later. We define a *cycle* of walking as the period between peaks. Note that the low pass filter is used only for the purpose of cycle extraction and we use non-filtered data for the DTW algorithm. The original data and filter-applied data are shown in Figure 1.



Figure 1: Distance between foot (black) and that of applied low pass filter (red).

In the cycle extraction phase, time t is a unit corresponding to the frame rate of the motion capture sensor. For example, Figure 1 shows example data for 2.6 seconds where the frame rate is 30 fps. We see noise-containing data (black) translated into gradually changing data (red). In these example data, we

have one cycle as a series of features from the first peak (t = 37) to the second peak (t = 70). The data is normalized from  $t_1$  to  $t_{35}$ .

## 3.2 Calculation of Relative Coordinates

We calculate relative coordinates of joints while walking. The origin of coordinates is chosen from stable joints in the center of the body. Note that in the experiment in Section 4, c is SpineMid.

Let  $a_c(t)$  be an absolute coordinate of center joints c at time t. The relative coordinate r is defined as

$$r_{\ell}(t) = a_{\ell}(t) - a_{c}(t).$$
 (5)

## **3.3** Calculation of DTW Distance

We use a DTW algorithm to calculate a distance of time series data. In our study, the position of a joint is defined in three axes, so we use multi-dimensional dynamic time warping (MD-DTW) (ten Holt et al., 2007). In MD-DTW, the 3-dimensional Euclidian distance is defined as

$$||p_i - q_j|| = \sqrt{(p_{i,x} - q_{j,x})^2 + (p_{i,y} - q_{j,y})^2 + (p_{i,z} - q_{j,z})^2}.$$
(6)

Let  $R_{\ell} = \langle r_{\ell}(t_1), \dots, r_{\ell}(t_n) \rangle$  and  $R'_{\ell} = \langle r'_{\ell}(t_1), \dots, r'_{\ell}(t_{n'}) \rangle$  be the time series data of joint  $\ell$ . Let d(R, R') be the distance between R and R'. When R = R', d(R, R') = 0. It is not necessary to assume that n = n', but n is distributed in almost the same way because the data is normalized in Section 3.1.

When several features are aggregated, the distance is calculated as follows. Given two data sets  $(R_{\ell}, R_m)$ and  $(R'_{\ell}, R'_m)$ , and data of joints  $\ell$  and m, an integrated DTW distance  $D((R_{\ell}, R_m), (R'_{\ell}, R'_m))$  is defined as an Euclidian distance of all DTW distances. i.e.,  $\sqrt{d(R_{\ell}, R'_{\ell})^2 + d(R_m, R'_m)^2}$ . Likewise, given k features, distances are calculated as a k-dimensional Euclidian distance.

## 3.4 Person Recognition

Let *U* be the set of all users. Let  $\mathbf{R}^{(u)}$  be time series data of *k* pieces of normalized relative coordinates of user *u*. Given *s* pieces of data ( $\mathbf{R}_1^u, \ldots, \mathbf{R}_s^u$ ), let template data  $\mathbf{R}_*^{(u)}$  be one of them. It is regarded that u = v, if the integrated DTW distance  $D(\mathbf{R}^{(u)}, \mathbf{R}^{(v)})$ of the two sets of time series data  $\mathbf{R}^{(u)}$  and  $\mathbf{R}^{(v)}$  is less than  $\theta$ .

Threshold  $\theta_{\ell}^*$  is determined using the EER. Let  $W^{(u)} = \{\mathbf{R}_1^{(u)}, \dots, \mathbf{R}_s^{(u)}\}$  be a set of time series data

of u. At this time, the FRR and FAR are calculated as

$$\operatorname{FRR}(\boldsymbol{\theta}, \boldsymbol{u}) = \frac{|\{\mathbf{R}^{(u)} \in W^{(u)} | D(\mathbf{R}^{(u)}, \mathbf{R}_{*}^{(u)}) > \boldsymbol{\theta}\}|}{|W^{(u)}|}, \quad (7)$$
$$\operatorname{FRR}(\boldsymbol{\theta}) = \frac{1}{|\mathbf{X}|} \sum_{\boldsymbol{v} \in \mathbf{P}} \mathbf{P}(\boldsymbol{\theta}, \boldsymbol{u}) \quad (8)$$

$$FRR(\theta) = \frac{1}{|U|} \sum_{u \in U} FRR(\theta, u), \quad (8)$$

$$\operatorname{FAR}(\theta, u) = \frac{\left| \left\{ R \in W - W^{(u)} | D(\mathbf{R}, \mathbf{R}_{*}^{(u)}) \leq \theta \right\} \right|}{|W^{(u)}|}.$$
 (9)  
$$\operatorname{FAR}(\theta) = \frac{1}{|U|} \sum_{u \in U} \operatorname{FAR}(\theta, u), (10)$$

At this point, *W* is a set of time series data of all users. The EER is an average error rate using threshold  $\theta_{\ell}^*$  such that  $FAR(\theta_{\ell}^*) = FRR(\theta_{\ell}^*)$ .

## **4 EXPERIMENT**

#### 4.1 Experiment Purposes

The purposes of our experiment are as follows:

- To identify the best parameters (choice of number of joints k and threshold θ\*) for the proposed gait identification method using skeleton data and DTW.
- 2. To evaluate the basic accuracy of the proposed method.
- 3. To evaluate the accuracy of the proposed method for walking containing some obstacles.
- 4. To identify the obstacle-robust joints.

#### 4.2 Motion Capture Device

We used the Kinect V2, a motion capture device developed by Microsoft.

The Kinect device includes an RGB camera, a depth camera, and a microphone. It identifies three-dimensional coordinates of joints of the player to recognize the player's movements. The threedimensional coordinates captured by the Kinect device are called skeleton data and can be retrieved via the Kinect Software Development Kit.

### 4.3 Experimental Method

#### 4.3.1 Experiment 1: Normal Walking

We captured walking data using Kinect V2 and evaluated the accuracy of the proposed method. We used 31 subjects, and each subject was assigned an ID from

T-1-1- 7.	T			
rable Z:	inio	rmanon	on the	experiment
14010 21			on me	emperimente

	1: Normal	2: Obstacles			
Date	April 19, 2018	March 26, 2018			
Start time	12:40	19:00			
End time	14:50	21:15			
#subjects	31	5			
Sex	26 male, 5 female	5 male			
#walks	5	2			
Age	18-51	21–24			
Place	classroom	laboratory			

1–31. Information regarding this experiment, Experiment 1, is shown in Table 2.

We observed some skeleton data  $(a_1(t), \ldots, a_{25}(t))$  for walking straight in the environment, as shown in Figure 2. The Kinect device was placed horizontally 0.9 m above the floor. The subjects each walked five times from a distance of 5.5 m away to 1 m away from the device.



Figure 2: Environment of Experiment 1.

In this experiment, we used SpineMid as the origin *c*. Relative coordinates of joints from *c* were calculated. We calculated the DTW distance  $d(R_{\ell}^{(u)}, R_{\ell}^{(v)})$  for each  $\ell$  and detected the optimal  $\theta_{\ell}^{*}$  for minimizing the EER.

We calculated the integrated DTW distance of the top k joints in descending order of the EER and evaluated the EER.

# 4.3.2 Experiment 2: Obstacle-Containing Walking

Some samples of obstacles are illustrated in Figure 3. The information for data capture for this experiment, Experiment 2, are shown in Table 2. We applied the following 12 obstacles:

- 1. Normal (no obstacle),
- 2. Swinging hand and foot in a big swing (b-swing),
- 3. Swinging hand and foot in a small swing (s-swing),
- 4. Putting hands in front pocket (pocket),
- 5. Walking while looking at smartphone (phone),
- 6. Carrying a handbag (handbag),
- 7. Carrying a shoulder bag (shoulder bag),



Figure 3: Sample obstacles (2 (b-swing), 4 (pocket), 5 (phone), 8 (sack), 9 (umbrella), 10 (box), 11 (sandals), 12 (suitcase)).

- 8. Carrying a knapsack (sack),
- 9. Holding an umbrella (umbrella),
- 10. Carrying a large box (box),
- 11. Wearing sandals (sandals),
- 12. Pulling a suitcase (suitcase).

We selected one set of template data from normal walking and calculated the integrated DTW distance with obstacle-containing data.

## 4.4 Experimental Results

#### 4.4.1 Data Capture

A sample of a 3D plot is shown in Figure 4. We show the one-cycle trace of 11 principal joints (Head, SpineShoulder, ShoulderRight, ShoulderLeft, HandTipRight, HandTipLeft, SpineBase, HipRight, HipLeft, FootRight, FootLeft). The subject was a 24-year old male. He walked horizontally, swinging his head. In this sample, one cycle had 33 frames and lasted 1.1 seconds.

#### 4.4.2 DTW Distance

As an example, we show the sample calculation processes of the DTW distance for HandTipLeft in Figure 5 and 6. The red line shows the trace on the x and y axes of the movement of HandTipLeft of walk 1, and the blue line is that of walk 2. Matched coordinates are indicated with gray lines. Figure 5 shows



Figure 4: Change of skeleton data a(t) of one cycle.



Figure 5: DTW distance of HandTipLeft (genuine)  $(d(R_{HTL}^{(u)}, R_{HTL}^{\prime(u)})).$ 



Figure 6: DTW distance of HandTipLeft (impostor)  $(d(R_{HTL}^{(u)}, R_{HTL}^{\prime(v)})).$ 

the result of the DTW process for a genuine person and Figure 6 shows the results of an impostor.

For the genuine person, the DTW distance, defined as the sum of the gray lines,  $d(R_{HTL}^{(u)}, R_{HTL}^{\prime(u)}) = 0.45$ . Thus, it implies that the trace of the left hand differed 1.5 cm in 1/30 second because one cycle has 30 frames, as shown in Figure 5.

In contrast, for the impostor data, there is a significant difference between user *u* and *v*. In Figure 5,  $d(R_{urr}^{(u)}, R_{urr}^{(u)}) = 12.0.$ 

 $d(R_{HTL}^{(u)}, R_{HTL}^{(u)}) = 12.0.$ As examples, the distribution of DTW distances of HandTipLeft (HTL)  $d(R_{HTL}^{(u)}, R_{HTL}^{(v)})$  is shown in Figure 7. In both graphs, the genuine (red) data are distributed closer than the impostor (blue) data and are distributed in a smaller range. The overlapped area is equal to the sum of the FAR and the FRR. A DTW distance is determined when both error rates are equal. According to this result,  $\theta_{HTL}^* = 2.19$ . Other joints were distributed similarly to the HTL and SL joints. The sorted EERs of all joints are shown in Table 3.

From Figure 3, we find:

1. The EERs of the Neck, Head and Shoulder-



Figure 7: Distribution of DTW distance of HTL.

Table 3: EER of 24 Joints.

Joint	EER	Joint	EER
ElbowLeft	0.076	HandRight	0.124
ShoulderRight	0.081	HipLeft	0.127
ShoulderLeft	0.095	WristRight	0.133
Neck	0.100	HandTipRight	0.133
SpineShoulder	0.100	FootRight	0.144
WristLeft	0.107	KneeRight	0.145
HipRight	0.107	AnkleRight	0.148
HandLeft	0.108	KneeLeft	0.155
Head	0.110	ThumbRight	0.177
HandTipLeft	0.112	ThumbLeft	0.187
ElbowRight 0.11		AnkleLeft	0.187
SpineBase 0.12		FootLeft	0.192

Right/Left tended to be stable.

- 2. With regard to the joints in the arms (Elbow, Wrist, Hand), the joints in the left arm were more stable than those in the right arm.
- 3. The EERs of joints in the legs (Foot, Knee, Ankle) tended to be unstable.

#### 4.4.3 Calculation of Integrated DTW Distance

We aggregated the top k joints  $(1 \le k \le 10)$  in Table 3 to improve accuracy. We show the change of the integrated EERs in Figure 8. We find that the EER decreases as the number of aggregated joints increases. When k is five or less, the minimum EER is 0.036. When k is six or more, the EER does not decrease. Therefore, k = 5 is regarded as the optimum value. Hereafter, we used the following five joints: ElbowLeft (EL), ShoulderRight (SR), ShoulderLeft (SL), Neck (NK), and SpineShoulder (SS).

We show the receiver operating characteristic (ROC) curves of the top five joints in Figure 9. The black line shows the ROC of the combined five joints and the others show the five individual joints. The diagonal line in the figure shows the EER. From this figure, the integrated DTW distance has a lower EER than the single joints.

We calculated the integrated DTW distance of the





Figure 8: EER of integrated DTW distance.

Figure 10: Distribution of the integrated DTW distance of all subjects.

top five joints between U31. We show the boxplot of the result in Figure 10. U31 is regarded as the average user. The range and quartiles of the integrated DTW distance for the 30 users are sorted by the mean values. Some users have similar distances, but we can distinguish them.

#### 4.4.4 Obstacle Walking

We calculated the DTW distance of obstaclecontaining walking  $(d(R_{normal}^{(u)}, R^{(u)}))$ . The means of the DTW distances are shown in Table 4, where the largest value in each obstacle is underlined. We found that all obstacles increased the EER above the normal EERs. The obstacle with the most underlined DTW distances is the box. The B-swing affects the Foot (FootR/L) substantially, and the suitcase affects the Shoulder (SR/SL). The box increases the EER from 3.46 to 14.278, which is 4.1 times greater. On average, the box increases the EER to 1.13 (2.95 times greater).

The distributions of the DTW distances for HTL  $d(R_{HTL}^{(u)}, R_{HTL}^{\prime(u)})$  for each obstacle is shown in Figures 11, respectively. In addition, the averages of the DTW distances  $\overline{d(R, R')}$  for each obstacle and each joint are shown in Figures 12 and 13, respectively.



Figure 11: Distribution of DTW distances for HTL  $d(R_{HTL}^{(u)}, R_{HTL}^{\prime(u)})$  for each obstacle.



Figure 12: Mean of DTW distances  $d(R^{(u)}, R'^{(u)})$  for each obstacle.



Figure 13: Mean of DTW distance  $d(R^{(u)}, R'^{(u)})$  for each joint.

Table 4: Means of DTW distance d(R, R') of each joint for each obstacle.

	normal	b-swing	s-swing	pocket	phone	handbag	shoulder bag	knapsack	umbrella	box	sandals	suitcase
ElbowLeft	1.83	5.41	1.83	3.06	2.36	2.18	1.86	1.88	2.48	5.61	1.68	2.28
ShoulderRight	0.97	1.76	0.99	0.96	1.06	1.31	1.33	1.14	1.12	1.60	0.99	<u>1.63</u>
ShoulderLeft	0.98	1.38	1.00	1.23	1.43	1.44	1.31	1.24	1.03	1.59	1.01	<u>1.71</u>
HipRight	0.95	1.44	1.13	1.11	1.13	1.56	1.62	1.04	1.18	1.89	1.13	1.67
Head	0.92	1.60	1.18	1.20	1.82	1.56	1.74	1.09	1.37	2.57	1.09	1.43
HandTipLeft	3.46	12.85	3.60	8.59	3.90	3.79	3.40	3.29	5.98	<u>14.27</u>	3.44	3.47
ElbowRight	1.84	4.55	2.28	2.92	4.20	3.17	2.76	2.47	3.52	<u>6.90</u>	2.15	5.83
HipLeft	1.09	1.39	1.16	1.14	1.14	1.23	1.50	1.16	1.27	2.21	1.10	1.39
HandTipRight	3.18	9.28	3.84	6.48	20.85	7.76	5.61	4.02	8.19	18.06	3.94	12.50
FootRight	3.31	<u>6.91</u>	4.39	3.48	3.68	3.95	4.12	4.41	4.03	4.46	4.13	4.55
FootLeft	2.96	<u>6.32</u>	3.89	3.15	2.88	3.27	3.21	3.70	3.69	4.14	4.06	3.80

From Figure 12, obstacles decrease the accuracy. The most influential obstacle is carrying a box. From Figure 13, obstacle-robust joints are the Shoulder, Head, and Hip. In particular, the most robust joint is the SpineShoulder.

# 5 DISCUSSION

In Experiment 1, stable joints have lower EERs than variable joints. This is because the distribution of stable joints in a particular person falls in a very small interval. Even when it is close to that of other persons, it can be an effective feature to recognize persons. We claim that stable joints, e.g., the head and the shoulders, move periodically in a very small range.

From Table 3, in the upper half of the body, joints on the left side have a lower EER than those on the right side. We think the reason for this is that some users swing their arms somewhat like the red line in Figure 6. Joints that swing a little are more stable and stable joints tend to be useful features.

In Figure 8, we suggest that the EER decreases as the number of aggregated joints increases. When k is five or less it is estimated that the dimension of the feature is increasing and the difference between different persons becomes greater. However, when integrated over six joints, we think the features have too many dimensions and repeatability in the same user decreases, which results in the EER increasing.

Big swings and carrying boxes are the largest obstacles. In particular, joints in the arms are affected by these obstacles significantly. However, we consider that big swings of arms and carrying big boxes do not occur often in daily life. Therefore, we claim that the proposed method is robust in terms of obstacles.

# 6 CONCLUSIONS

In this work, we proposed a new person identification method using time series data of 3-dimensional joint coordinates, captured by a depth sensor. As a result of our experiments, we decreased the EER to 0.03 by using five joints including ElbowLeft, ShoulderRight, ShoulderLeft, Neck and SpineShoulder. This is considerably lower than that obtained by previous works, such as (Mori and Kikuchi, 2018) (0.25) or (Muaaz and Mayrhofer, 2017) (0.13).

We verified the accuracy of the proposed system using obstacle-containing walking data. As a result, stable joints such as the shoulder or head are not affected by obstacles.

# REFERENCES

- Berndt, D. J. and Clifford, J. (1994). Using dynamic time warping to find patterns in time series. *The Third International Conference on Knowledge Discovery and Data Mining*, pages 359–370.
- Han, J. and Bhanu, B. (2006). Individual recognition using gait energy image. *IEEE Trans. Pattern Anal. Mach. Intell.*, 28(2):316–322.
- Igual, L., Lapedriza, A., and Borràs, R. (2013). Robust gait-based gender classification using depth cameras. *EURASIP Journal on Image and Video Processing*, 2013(1):1–11.
- Lee, W.-H., Ortiz, J., Ko, B., and Lee, R. (2018). Inferring smartphone usersf handwritten patterns by using motion sensors. 4th International Conference on Information Systems Security and Privacy, pages 139–148.
- Li, M., Chen, X., Tian, S., Wang, Y., and Li, P. (2018). Research of gait recognition based on human electrostatic signal. 2018 2nd IEEE Advanced Information Management, Communicates, Electronic and Automation Control Conference (IMCEC), pages 1812–1817.
- Mori, T. and Kikuchi, H. (2018). Person tracking based on gait features from depth sensors. *The 21st Internati*onal Conference on Network-Based Information Systems (NBiS-2018), 22:743–751.

- Muaaz, M. and Mayrhofer, R. (2017). Smartphonebased gait recognition: From authentication to imitation. *IEEE Transactions on Mobile Computing*, 16(11):3209–3221.
- Preis, J., Kessel, M., Werner, M., and Linnhoff-Popien, C. (2012). Gait recognition with kinect. *Proceedings of the First Workshop on Kinect in Pervasive Computing*.
- Shiraga, K., Makihara, Y., Muramatsu, D., Echigo, T., and Yagi, Y. (2016). Geinet: View-invariant gait recognition using a convolutional neural network. 2016 International Conference on Biometrics (ICB), pages 1–8.
- Sklavos, N. and Souras, P. (2006). Economic models and approaches in information security for computer networks. *International Journal of Netowk Security*, 2(1):14–20.
- ten Holt, G. A., Reinders, M. J. T., and Hendriks, E. A. (2007). Multi-dimensional dynamic time warping for gesture recognition. *Thirteenth annual conference of the Advanced School for Computing and Imaging.*
- Zhang, Y., Pan, G., Jia, K., Lu, M., Wang, Y., and Wu, Z. (2015). Accelerometer-based gait recognition by sparse representation of signature points with clusters. *IEEE Transactions on Cybernetics*, 45:1864–1875.